

REDWOOD ASSET MANAGEMENT (PRIVATE) LIMITED

Personal Account Application Form Managed Portfolio

PLEASE USE BLOCK LETTERS – and return to the Customer Service Officer

Personal Details

Surname:										First name:									
Title: <input checked="" type="checkbox"/> The Hon	<input type="checkbox"/>	Prof	<input type="checkbox"/>	Dr	<input type="checkbox"/>	Rev	<input type="checkbox"/>	Mr	<input type="checkbox"/>	Mrs	<input type="checkbox"/>	Miss	<input type="checkbox"/>	Ms	<input type="checkbox"/>				
Date of birth:										ID number:									
Gender	M	<input type="checkbox"/>	F	<input type="checkbox"/>	Nationality:					Marital status: <input checked="" type="checkbox"/> Married					<input type="checkbox"/> Single	<input type="checkbox"/> Other			
Residential address:																			
Postal address																			
Email address:																			
Tel home:					Tel office:					Mobile:									
Occupation:										Employer's name:									
Tel work:										Mobile:									
Next of Kin:																			
Contact tel:										Mobile:									

1. Amount invested	\$
In words:	
2. Method of payment: <input checked="" type="checkbox"/> Electronic Transfer	<input type="checkbox"/> Cheque
<input type="checkbox"/> Cash	<input type="checkbox"/>

3. Investment Asset(s) Required (Please indicate full names of portfolios that you have selected)

Portfolio	Amount	Period of Investment
Money market	\$	
Equities	\$	
Other (specify)	\$	
Total	\$	

4. Source of Funds
5. Management rate fee

Banking Details

Name of bank:	Account name:
Branch:	Account number:
Branch code:	Account type:

6. Authorisation, Declaration And Acknowledgement

- 6.1 I warrant that the information contained herein is true and correct and where this application is signed in a representative capacity, I warrant that I have full power and authority to do so and we are legally competent to enter into this transaction with the necessary assistance where such assistance is a legal requirement
- 6.2 I the undersigned, hereby authorise Redwood Asset Management to act upon signature of this form to manage my investments and I understand the risks involved in money market, equity, bond and debenture investments.
- 6.3 I the undersigned, hereby authorise Redwood Asset Management to act upon instructions with regard to my investment in Redwood Asset Management without liability in respect of any transfer, payment or other act done in accordance with such instructions and notwithstanding the absence of proof that the same was signed or sent by me and indemnify Redwood Asset Management against any claim that may arise from my transaction.
- 6.4 I warrant that all funds invested with Redwood Asset Management are not the proceeds of unlawful activities and warrant that I have not contravened any anti-money laundering legislation and regulation applicable to me.
- 6.5 I authorise Redwood Asset Management to charge a management fee on my investment as per their scale and deduct 15% withholding tax from the interest earned on the investment where applicable. I am aware of and consent to all costs relating to the investment.
- 6.6 I authorise Redwood Asset Management to pay dividend tax on my behalf, where applicable. Dividend tax is 10% (subject to change by ZIMRA) charged to individual shareholders when a dividend is paid. The tax is deducted before Redwood Asset Management reinvests any dividend into my account.
- 6.7 I understand that the investment rates quoted are for indication purposes only on the day in question and will be subject to change, without further notice. The applicable investment rate will only be confirmed after a trade has been executed.
- 6.8 I confirm that the risk profile has been explained to me, and I understand that it is my obligation to familiarise myself with and accept the risks associated with this investment.
- 6.9 In the event that I elect to transmit or accept documents and/or instructions to or from Redwood Asset Management by means of electronic mails (e-mails) I accept the risks associated with this form of communication and hereby indemnify and hold Redwood Asset Management harmless against all demands, actions and proceedings which may be made or instituted against Redwood Asset Management, and all loss or damage which may be suffered by Redwood Asset Management, whether directly or indirectly arising out of my election to use electronic mails in my dealings with Redwood Asset Management.
- 6.10 I indemnify Redwood Asset Management Company, its successors-in-title and assignees, its nominees and agents as financial advisers, of any claims for losses, which may occur as a result of my investment decision or an investment decision made by Redwood Asset Management with my consent.
- 6.11 Redwood Asset Management cannot be held liable for failure on the part of any issuing authority to allocate financial instruments tendered for on my/our behalf.
- 6.12 I understand that this whole application constitutes the entire agreement between Redwood Asset Management and myself and agree to be bound by the terms and conditions contained herein.
- 6.13 I confirm that I have read and understand the terms and conditions as set out in this application form and attached documents and agree to be bound by it, prior to completing the application form.

Customer guidelines

The following guidelines should accompany this application form at all times:

Certified Identity Documents (Passport/National ID/ Driver's Licence)

2 passport sized Photos and

Proof of Residence (Utility bills e.g. ZESA, City Council, Telone or Bank Statement)

Signature:	Capacity:	Date:
Parent/Guardian (if applicable)	Capacity	Date:

For Office Use

Received by:

Surname:		First name:	
Office:	Agent:	Date	

Processed by:

Surname:		First name:	
Date:			

Checked by:

Surname:		First name:	
Date:			