



REDWOOD ASSET MANAGEMENT (PRIVATE) LIMITED

Corporate Account Application Form Managed Portfolio

PLEASE USE BLOCK LETTERS – and return to the Customer Service Officer

Business Details

Business name:	
Registered office address:	
Date of incorporation:	
Nature of Business:	
Mailing address:	
Business tel no.	Mobile no:
Email address	
Annual turnover: \$	
Is the company a subsidiary? Yes <input type="checkbox"/>	No <input type="checkbox"/>
If yes, name of Holding company:	

Banking Details

Name of Bank:	Account name:
Branch:	Account number:
Branch code:	Account type:

Contact Person Details

1st Contact

Surname	First name:
Job title:	Method of contact:
Business tel no.	Mobile no:
Email address:	
Queries that should be addressed to them:	

2nd Contact

Surname	First name:
Job title:	Method of contact:
Business tel no.	Mobile no:
Email address:	
Queries that should be addressed to them:	

Professional Advisors

Accountant

Name of company:	
Contact surname:	First name:
Address:	
Business tel no:	Mobile no:

Lawyers

Name of company:	
Contact surname:	First name:
Address:	
Business tel no:	Mobile no:

Authority to Divulge Information

Please accept this notification as the company's authority to divulge any information requested either by our Accountants or Lawyers whose details are shown above. The authority is to remain in force until cancelled in writing. Any changes to the details of our professional advisors detailed above will be notified to you immediately.

For and on behalf of:		Ltd/ (Pvt).Ltd
Signature of Director/Company Secretary:	Date:	
Full name:		
Signature of Company Secretary:	Date:	
Full name:		

1. Amount invested	\$				
In words:					
2. Method of payment:	<input checked="" type="checkbox"/> Electronic Transfer	<input type="checkbox"/> Cheque	<input type="checkbox"/> Cash	<input type="checkbox"/>	<input type="checkbox"/>

3. Investment Asset(s) Required (Please indicate full names of portfolios that you have selected)

Portfolio	Amount	Period of Investment
Money market	\$	
Equities	\$	
Other (specify)	\$	
Total	\$	

4. Source of Funds
5. Management rate fee

6. Authorisation, Declaration And Acknowledgement

- 6.1 I/We warrant that the information contained herein is true and correct and where this application is signed in a representative capacity, I/We warrant that I/We have full power and authority to do so and we are legally competent to enter into this transaction with the necessary assistance where such assistance is a legal requirement.
- 6.2 I/We the undersigned, hereby authorise Redwood Asset Management to act upon signing of this form to manage my/our investments and I/We understand the risks involved in money market, equity, bond and debenture investments.
- 6.3 I/We the undersigned, hereby authorise Redwood Asset Management to act upon instructions with regard to my/our investment in Redwood Asset Management without liability in respect of any transfer, payment or other act done in accordance with such instructions and notwithstanding the absence of proof that the same was signed or sent by me/us and indemnify Redwood Asset Management against any claim that may arise from my/our transaction.
- 6.4 I/We warrant that all funds invested with Redwood Asset Management are not the proceeds of unlawful activities and warrant that I/We have not contravened any anti-money laundering legislation and regulation applicable to me/us.
- 6.5 I/We authorise Redwood Asset Management to charge a management fee on my investment as per their scale and deduct 15% withholding tax from the interest earned on the investment where applicable. I/We are aware of and consent to all costs relating to the investment.
- 6.6 I understand that the investment rates quoted are for indication purposes only on the day in question and will be subject to change, without further notice. The applicable investment rate will only be confirmed after a trade has been executed.
- 6.7 I/We confirm that the risk profile has been explained to me/us, and I/we understand that it is my/our obligation to familiarise myself/ourselves with and accept the risks associated with this investment.
- 6.8 In the event that I/we elect to transmit or accept documents and/or instructions to or from Redwood Asset Management by means of electronic mails (e-mails) I/we accept the risks associated with this form of communication and hereby indemnify and hold Redwood Asset Management harmless against all demands, actions and proceedings which may be made or instituted against Redwood Asset Management, and all loss or damage which may be suffered by Redwood Asset Management, whether directly or indirectly arising out of my election to use electronic mails in my dealings with Redwood Asset Management.
- 6.9 I/we indemnify Redwood Asset Management Company, its successors-in-title and assignees, its nominees and agents as financial advisers, of any claims for losses, which may occur as a result of my investment decision or an investment decision made by Redwood Asset Management with my consent.
- 6.10 Redwood Asset Management cannot be held liable for failure on the part of any issuing authority to allocate financial instruments tendered for on my/our behalf.
- 6.11 I/We understand that this whole application constitutes the entire agreement between Redwood Asset Management and myself/ourselves and agree to be bound by the terms and conditions contained herein.
- 6.12 I/We confirm that I/we have read and understand the terms and conditions as set out in this application form and attached documents and agree to be bound by it, prior to completing the application form.

Customer guidelines

The following guidelines should accompany this application form at all times:

Certified copy of certification of incorporation

Certified copy of memorandum and articles of association of the company

Board resolution authorising the opening of investment account and conferring authority to those who will operate the account

Certified copy of CR14 form

Certified Identity Documents for Directors (Passport/National ID/ Driver's Licence)

2 Passport sized photos of authorised signatories

Company banking details

Directors Proof of residence (Utility bills e.g ZESA, City Council, Telone or Bank statement)

Signature:	Capacity:	Date:
Signature:	Capacity:	Date:
Signature	Capacity:	Date:

For Office Use

Received by:

Surname:		First name:	
Office:	Agent:		Date

Processed by:

Surname:		First name:	
Date:			

Checked by:

Surname:		First name:	
Date:			